10/593102 IAP9/Rec'd PCT/PTO 15 SEP 2006

Docket No.: 070759-0046 **PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Yozo UCHIDA, et al. : Confirmation Number: Not Yet Assigned

Application No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: September 15, 2006 : Examiner: Not Yet Assigned

For: SEMICONDUCTOR LASER DEVICE AND METHOD FOR FABRICATION

**THEREOF** 

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

A copy of the foreign search report is attached for the Examiner's information. Please note this is a PCT application in the entry of the National Phase in the U.S. and copies of the references cited were transmitted by WIPO and are believed to be in the file of the above identified application and readily available to the Examiner. Therefore it is

10/593102 IAP9/Rec'd PCT/PTO 15 SEP 2006

believed that Applicants have met all requirements regarding duty of disclosure under 37 CFR 1.56. Acknowledgement and consideration of these documents are respectfully requested.

Since the Search Report was from the JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above identified application and readily available to the Examiner. However, to ensure that these references are available to the Examiner, we are providing copies of these references herewith.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Please recognize our Customer No. 20277

as our correspondence address.

Arthur J. Steiner Res. No. 51,321

Registration No. 26,106

600 13<sup>th</sup> Street, N.W. Washington, DC 20005-3096 Phone: 202.756.8000 AJS:sln

Facsimile: 202.756.8087 **Date: September 15, 2006** 

2

## INFORMATION DISCLOSURE CITATION IN AN **APPLICATION**

APPLICANT

Yozo UCHIDA, et al.

(PTO-1449)

FILING DATE

**GROUP** 

September 15, 2006

**Not Yet Assigned** 

EXAMINER'S INITIALS	NO.	Document Number Number-Kind Code2 (# known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US 2002/0024985 A1		02/28/2002	Takeuchi et al.		Corresponds to JP 2002- 076502	
		US	2002/0022285 A1	02/21/2002	Narui		Corresponds to JP 2001- 244569	
		US	2004/0245534 A1	12/09/2004	Yamada		Corresponds to WO 03/00551 A1	
		US	2004/0206975 A1	10/21/2004	Tojo et al.		Corresponds to JP 2004- 014943	
		US	2003/0194823 A1	10/16/2003	Miyazaki et a	al.	Corresponds to JP 2003- 309329	
		US	6,200,382 B1	03/13/2001	Fujii et al.		Corresponds to JP 2000- 031600	
					ENT DOCUMENTS			
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes -Number 4 - Kind Codes (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear		Translation Yes No
		JP 2002-076502		03/15/2002	SANYO ELECTRIC CO LTD	Corresponds to US 2002/0024985 A1		JAPAN (w/ English Abstract)
		JP 2001-244569		09/07/2001	SONY CORP	Corresponds to US 2002/0022285 A1		JAPAN (w/ English Abstract)
		WO 03/005515 A1		01/16/2003	NICHIA CORPORATION	Corresponds to US 2004/0245534 A1		JAPAN (w/ English Abstract)
		JP 2004-014943		01/15/2004	SONY CORP	Corresponds to US 2004/0206975 A1		JAPAN (w/ English Abstract)
		JP 2004-047918		02/12/2004	NICHIA CHEM IND LTD			JAPAN (w/ English Abstract)
		JP 2003-347665		12/05/2003	SONY CORP			JAPAN (w/ English Abstract)
		JP 2003-309329		10/31/2003	SHARP CORP	2003/01948		JAPAN (w/ English Abstract)
		JP 2002-261391		09/13/2002	SONY CORP			JAPAN (w/ English Abstract)
			JP 05-190976	07/30/1993	SEIKO EPSON CORP		<u> </u>	JAPAN (w/ English Abstract)
		JP 2000-031600		01/28/2000	NEC CORP	Corresponds to US 6,200,382 B1		JAPAN (w/ English Abstract)
		JP 03-280483		12/11/1991	SANYO ELECTRIC CO LTD			JAPAN (w/ English Abstract)
=		T		<u> </u>	r, Title, Date, Pertinent Pages, E			
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
······································			Japanese Pate	nt Office Search	Report for PCT/JP2005/00	3511 filed Ma	rch 2, 20	005
	-	EXA	AMINER			DATE CONSID	ERED	
		EV	JAIII 4 E L			DATE CONSID	FVED	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.